Form IV-A

Short-term Inter-State Transactions of Electricity by Trading Licensees (RTC*)

 Name of the Trading Licensee:
 Shyam Indus Power Solutions Private Limited

 Licence Details (No & Date):
 40/Trading/CERC dated 11th Nov/2008

 Month:
 Oct-19

| Sr.No | Period of Power Delivery | | Time of Power Delivery | | Scheduled Volume | 1 | Purchased from | | | Sold to | | Purchase Price | | Trading Margin |
|-------|------------------------------------|-----------------------|---------------------------|-------------|---------------------|--------------------|----------------|-------|-------------------|----------|-------|----------------|----------|-------------------|
| 51.10 | Start date | End date | Start Time | End Time | (MUs) | N CA CH | G (| St. 1 | N fd D | <u> </u> | St. 1 | (Rs/Kwh) | (Rs/kwh) | (Rs/kwh) |
| | (DD/MM/YYYY) | (DD/MM/YYYY) | (HH:MM) | (HH:MM) | | Name of the Seller | Category | State | Name of the Buyer | Category | State | | l l | |
| Α | A Inter state Trading Transactions | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | |
| | | | | | | | NIL | | | | | | | |
| | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | |
| В | Inter State Trading Trans | actions through Swapp | oing or Banking | Arrangement | | | | | | | | | | |
| | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | |
| | | | | | | | NIL | | | | | | | |
| | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | |
| С | Cross Border Transaction | IS | | | | | | | | | | | | |
| | NIL | | | | | | | | | | | | | |

Form IV-B



Short-term Inter-State Transactions of Electricity by Trading Licensees (Peak*)

Name of the Trading Licensee:Shyam Indus Power Solutions Private LimitedLicence Details (No & Date) :40/Trading/CERC dated 11th Nov/2008Month:Oct-19

| Sr.No | Period of Power Delivery | | Time of Power Delivery | | Scheduled Volume | | Purchased from | | | Sold to | | Purchase Price | Sale Price (Rs/kwh) | Margin |
|-------|----------------------------------|------------------------------|---------------------------|---------------------|---------------------|-----------------------|----------------|-------|----------------------|----------|-------|-------------------|------------------------|----------|
| | Start date (DD/MM/ YYYY) | End date (DD/MM/ VVVV) | Start Time (HH:MM) | End Time (HH:MM) | (MUs) | Name of the Seller | Category | State | Name of the Buyer | Category | State | (Rs/Kwh) | (KS/KWII) | (Rs/kwh) |
| Α | Inter State Trading Transactions | | | | | | | | | | | | | |
| | | | | | | NIL | | | | | | | | |
| | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | |
| В | Inter State Trading Trans | sactions throug | h Swapping or | Banking Arran | gement | | Nil | | | | | | | |
| | | | | | | | 1111 | | | | | | | |
| С | Cross Border Transaction | ss Border Transactions | | | | | | | | | | | | |
| | | | | | | | Nil | | | | | | | |
| | | | | | | | | | | | | | | |

Form IV-C 4

Short-term Inter-State Transactions of Electricity by Trading Licensees (Other than Peak & RTC)

Name of the Trading Licensee: Licence Details (No & Date) : Month:

Shyam Indus Power Solutions Private Limited 40/Trading/CERC dated 11th Nov/2008 Oct-19

| Sr.No | Period of Power Delivery | | Time of Power Delivery | | Scheduled Volume (MUs) | | Purchased f | rom | | Sold to | | Purchase Price | Sale Price (Rs/kwh) | |
|-------|-----------------------------|---------------------------|---------------------------|---------------|---------------------------|-----------------------|-------------|-------|-------------------|----------|-------|-------------------|------------------------|----------|
| | Start date (DD/MM/ YYYY) | End date (DD/MM/ YYYY) | Start Time (HH:MM) | | Volume (MUs) | Name of the Seller | Category | State | Name of the Buyer | Category | State | (Rs/Kwh) | (KS/KWII) | (Rs/kwh) |
| Α | Inter State Trading | Transactions | | | | | | | | | | | | |
| | | | | | NIL | | | | | | | | | |
| | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | |
| - | | | | | | | | | | | | | | |
| В | Inter State Trading | Transactions throug | gh Swapping | or Banking Ar | rangement | | | | | | | | | |
| | | | | | | | | | | | | | | 1 |
| | | | | | | | | | | | | | | |
| С | Cross Border Tran | Cross Border Transactions | | | | | | | | | | | | |
| | | | | | | | N | il | | | | | | |
| | | | | | | | | | | | | | | |

Note: (1) Data shall be submitted transaction- wise and should not be aggregated. Note: (2) Indicate the names and the category of the seller/buyer namely generator, captive power plant, distribution licensee, Government, industrial open access consumer, commercial open access consumer, trader (when applicable) etc.

Note: (3) Data shall be posted on the website of the electricity trader or any other authorised website (Name of the link on the home page: Statutory Information)

Note: (4) Data shall be submitted on a monthly basis by 15th of the following month to the Commission, Regional Load Dispatch Centre and

Regional Power Committee

Note: (5) Data shall be submitted to the Commission i.e. hard copy to Secretary, CERC and soft copy in an Excel Sheet by E- mail: Note: (6) Full form of the abbreviations used for Name of the Seller/Buyer etc. shall be provided at the end of the Table.

Form IV-D



Long-term Inter-State Transactions of Electricity by Trading Licensees

Name of the Trading Licensee:Shyam Indus Power Solutions Private LimitedLicence Details (No & Date) :40/Trading/CERC dated 11th Nov/2008Month:Oct-19

| | Period of Po | wer Delivery | | Purchas | sed from | | | Sold to | | | | |
|-------|------------------------------------|---------------------------|---------------------------|--------------------|----------|-------|----------------------|----------|-------|------------------------|--|--|
| Sr.No | Start date (DD/MM/ YYYY) | End date (DD/MM/ YYYY) | Scheduled Volume (MUs) | Name of the Seller | Category | State | Name of the Buyer | Category | State | Sale Price (Rs/kwh) | | |
| Α | A Inter State Trading Transactions | | | | | | | | | | | |
| | Nil | | | | | | | | | | | |
| В | Inter State Trading Tr | cansactions through Sw | apping or Banking Arra | angement | | | | | | | | |
| | Nil | | | | | | | | | | | |
| | | | | | | | | | | | | |
| C | C Cross Border Transactions | | | | | | | | | | | |
| | Nil | | | | | | | | | | | |

Form IV-E



Intra-State Transactions of Electricity by Trading Licensees

Month:

Name of the Trading Licensee: Shyam Indus Power Solutions Private Limited Licence Details (No & Date): 40/Trading/CERC dated 11th Nov/2008

Oct-19

| Sr. No. | TotalStateTransacted Volume (Mus) | | | | | | | | | |
|---------|-----------------------------------|-------------------|--|--|--|--|--|--|--|--|
| | Short 7 | Ferm transactions | | | | | | | | |
| 1 | | NIL | | | | | | | | |
| | Long Term Transactions | | | | | | | | | |
| | | NIL | | | | | | | | |

Form IV-F

4

Day Ahead Power Exchange Transactions of Electricity by Trading Licensees

Name of the Trading Licensee:Shyam Indus Power Solutions Private LimitedLicence Details (No & Date):40/Trading/CERC dated 11th Nov/2008Month:Oct-19

| | | | Purchas | ed from | Sold | l to | | | |
|-------|----------------------------------|--|------------------------------|---------|------------------------------|-------|-------------------------|------------------|-------------------------|
| Sr.No | Date of Delivery (DD/MM/YYYY) | Total Scheduled Volume for each day (MUs) | Name of Seller/Name of PX | State | Name of Buyer /Name of PX | State | Purchase Price (Rs.) | Sale Price (Rs.) | Trading Margin (Rs.) |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | - | |
| | | | | | | | | | |
| | | | | NIL | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | 1 | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |

| | | | | | | |
|---|----------|---|---|---|--|--|
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | 0.000000 | 1 | 1 | 1 | | |
| L | | | | | | |

Note: Trading Margin shall be charged for each 15 minutes contract separately. However, the reporting shall be made on daywise basis inlight of the large volume of data.

| | | IE | X | PX | IL | | | | | | | |
|-------|---|-----------------------|--|-----------------------|---------------------|--|--|--|--|--|--|--|
| | | Margin charged when | Margin charged when | Margin charged when | Margin charged when | | | | | | | |
| Sr.No | Name of the Client | MCP is lesser than or | MCP is greater than | MCP is lesser than or | MCP is greater than | | | | | | | |
| | | equal to Rs3/Kwh | Rs3/Kwh | equal to Rs3/Kwh | Rs3/Kwh | | | | | | | |
| | | (Rs/Kwh) | (Rs/Kwh) | (Rs/Kwh) | (Rs/Kwh) | | | | | | | |
| | Open Access Consumers and Generators | | Please note that all our contracts are fix margin contracts and we have charged trading margin less than or equal o 4 paise/KWh irrespective of market clearing price and exchange on which we trade. | | | | | | | | | |
| | | | | | | | | | | | | |
| | | | | | | | | | | | | |
| | | | | | | | | | | | | |
| | | | | | | | | | | | | |

| IEX | INDIAN ENERGY EXCHANGE |
|------|------------------------------|
| PXIL | POWER EXCHANGE INDIA LIMITED |

Form IV-G



Term Ahead Power Exchange Transactions of Electricity by Trading Licensees

Name of the Trading Licensee:Shyam Indus Power Solutions Private LimitedLicence Details (No & Date):40/Trading/CERC dated 11th Nov/2008Month:Oct-19

| | Name of Power | Period of Pe | ower Delivery | Time of Pow | er Delivery | Schedule | Purchased from | | Sold to | | Transaction | |
|-------|--|-----------------------------|---------------------------|-----------------------|---------------------|----------------------|-------------------|-------|------------------|-------|------------------|------------------------------|
| Sr.No | Exchange (IEX/ PXIL) Intra-Day Contracts | Start date (DD/MM/ YYYY) | End date (DD/MM/ YYYY) | Start Time (HH:MM) | End Time (HH:MM) | d Volume (MUs) | Name of Seller | State | Name of Buyer | State | Price (`/Kwh) | Trading Margin (`/kwh) |
| Α | Intra-Day Contracts | | / | | / | | | 8 | | | | - |
| | | | | | | | | | | | | |
| | | | | | Nil | | | | | | | |
| В | Day-Ahead Contingen | cy Contracts | | | | | | | | | | |
| | | | | | Nil | | | | | | | |
| С | Daily Contracts | | | | | | | | | | | |
| | - | | | | Nil | | | | | | | |
| D | Weekly Contracts | | | | | | | | | | | |
| ···· | v | | | | Nil | | | | | | | |

Note: Any other Contract on the Power Exchange should be included as and when it introduced.

Form IV-H



Renewable Energy Certificates(REC) Trading on Power Exchange by Trading Licensees

Name of the Trading Licensee: Licence Details (No & Date) : Month: Date of Trading: Shyam Indus Power Solutions Private Limited 40/Trading/CERC dated 11th Nov/2008 Oct-19

| Sr. No. | REC Client (State) | Client Type (Renewable generator/ Obligated Entities) | Name of Power Exchange | Market Clearing Price (Rs/REC) | Trading Margin (Rs/KWh) |
|---------|--------------------|---|------------------------------|-----------------------------------|----------------------------|
| | | Non Solar RECs | | | |
| Ĩ | | | | | |
| | | NIL | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |

| | Solar RECs | | | | | | | | | | | |
|---|------------|-----|--|--|--|--|--|--|--|--|--|--|
| | | | | | | | | | | | | |
| | | | | | | | | | | | | |
| | | NIL | | | | | | | | | | |
| _ | | | | | | | | | | | | |
| | | | | | | | | | | | | |
| | | | | | | | | | | | | |

Note: 1 REC = 1 MWh