Form IV-A

Short-term Inter-State Transactions of Electricity by Trading Licensees (RTC*)

Name of the Trading Licensee: Shyam Indus Power Solutions Private Limited Licence Details (No & Date): 40/Trading/CERC dated 11th Nov/2008 Month: Dec-19

| Sr.No | Period of Pow | er Delivery | Time of Deliv | | Scheduled Volume | I | Purchased from | | | Sold to | | Purchase Price | Sale Price | Trading Margin |
|--------|----------------------------|-----------------------|------------------|-------------|---------------------|--------------------|----------------|-------|-------------------|----------|-------|----------------|------------|-------------------|
| 51.110 | Start date | End date | Start Time | End Time | (MUs) | | | _ | | _ | _ | (Rs/Kwh) | (Rs/kwh) | (Rs/kwh) |
| | (DD/MM/YYYY) | (DD/MM/YYYY) | (HH:MM) | (HH:MM) | , , , | Name of the Seller | Category | State | Name of the Buyer | Category | State | | | , , |
| A | Inter state Trading Transa | actions | | | | | | | | | | | | _ |
| | | | | | | | | | | | | | | |
| | | | | | | | NIL | | | | | | | |
| | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | |
| В | Inter State Trading Trans | actions through Swapp | ing or Banking | Arrangement | | | | | | | | | | |
| | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | |
| | | | | | | | NIL | | | | | | | |
| | | | | | | | | | | | | | | |
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| | | | | | | | | | | | | | | |

| C | Cross Border Transactions |
|---|---------------------------|
| | NIL |
| | |

Form IV-B

Short-term Inter-State Transactions of Electricity by Trading Licensees (Peak*)

Name of the Trading Licensee: Shyam Indus Power Solutions Private Limited Licence Details (No & Date): 40/Trading/CERC dated 11th Nov/2008

| Sr.No | Period of Power I | · | | of Power livery | Scheduled Volume | | Purchased from | | | Sold to | | | Sale Price | Trading Margin |
|-------|-----------------------------|------------------|-----------------------|---------------------|---------------------|-----------------------|----------------|-------|----------------------|----------|-------|----------|------------|-------------------|
| | Start date (DD/MM/ YYYY) | (DD/MM/ VVVV) | Start Time (HH:MM) | End Time (HH:MM) | (MUs) | Name of the Seller | Category | State | Name of the Buyer | Category | State | (Rs/Kwh) | (Rs/kwh) | (Rs/kwh) |
| A | Inter State Trading Trans | sactions | | | | | | | | | | | | |
| | | | | | | NIL | | | | | | | | |
| | | | | • | | | | | | | | | | |
| | | | | | | | | | | | | | | |

| В | Inter State Trading Transactions through Swapping or Banking Arrangement |
|---|--|
| | Nil |

| C | Cross Border Transactions | | |
|---|---------------------------|-----|--|
| | | Nil | |
| | | | |

Form IV-C

Short-term Inter-State Transactions of Electricity by Trading Licensees (Other than Peak & RTC)

Name of the Trading Licensee: Shyam Indus Power Solutions Private Limited Licence Details (No & Date) : 40/Trading/CERC dated 11th Nov/2008

Month: Dec-19

| Sr.No | Period of Po | wer Delivery | | f Power | Scheduled | | Purchased i | from | | Sold to | | Price (Rs/ | Sale Price | le Price ts/kwh) Trading Margin (Rs/kwh) |
|-------|-----------------------------|----------------------|-----------------------|---------------|--------------|-----------------------|-------------|-------|-------------------|----------|-------|------------|------------|---|
| | Start date (DD/MM/ YYYY) | | Start Time (HH:MM) | | Volume (MUs) | Name of the Seller | Category | State | Name of the Buyer | Category | State | (Rs/Kwh) | (KS/KWII) | |
| A | Inter State Trading | Transactions | | | | | | | | | | | | |
| | | | | | NIL | | | | | | | | | |
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| | | | | | | | | | | | | | | |
| В | Inter State Trading | Transactions through | gh Swapping | or Banking Ar | rangement | | | | | <u> </u> | | | | |
| | | | | | | | | | | | | | | |

| C | Cross Border Transactions | | |
|---|---------------------------|-----|--|
| | | Nil | |
| | | | |

Note: (1) Data shall be submitted transaction- wise and should not be aggregated.

Note: (2) Indicate the names and the category of the seller/buyer namely generator, captive power plant, distribution licensee, Government, industrial open access consumer, commercial open access consumer, trader (when applicable) etc.

Note: (3) Data shall be posted on the website of the electricity trader or any other authorised website (Name of the link on the home page: Statutory Information)

Note: (4) Data shall be submitted on a monthly basis by 15th of the following month to the Commission, Regional Load Dispatch Centre and

Regional Power Committee

Note: (5) Data shall be submitted to the Commission i.e. hard copy to Secretary, CERC and soft copy in an Excel Sheet by E- mail: Note: (6) Full form of the abbreviations used for Name of the Seller/Buyer etc. shall be provided at the end of the Table.

Form IV-D

Long-term Inter-State Transactions of Electricity by Trading Licensees

Name of the Trading Licensee: Shyam Indus Power Solutions Private Limited Licence Details (No & Date): 40/Trading/CERC dated 11th Nov/2008

| | Period of Po | wer Delivery | | Purchas | sed from | | | Sold to | | |
|-------|-----------------------------|---------------------------|---------------------------|--------------------|----------|-------|----------------------|----------|-------|------------------------|
| Sr.No | Start date (DD/MM/ YYYY) | End date (DD/MM/ YYYY) | Scheduled Volume (MUs) | Name of the Seller | Category | State | Name of the Buyer | Category | State | Sale Price (Rs/kwh) |
| A | Inter State Trading Tr | ansactions | | | | | | | | • |
| | | | | Nil | | | | | | |
| В | Inter State Trading Tr | cansactions through Sw | vapping or Banking Arra | angement | | | | | | |
| | | | | Nil | | | | | | |
| - | C P 1 T | .• | | | | | | | | |
| С | Cross Border Transac | tions | | | | | | | | |
| | · | · | · | Nil | | | · | · | | |

Form IV-E



Intra-State Transactions of Electricity by Trading Licensees

Name of the Trading Licensee: Shyam Indus Power Solutions Private Limited

Licence Details (No & Date): 40/Trading/CERC dated 11th Nov/2008

| Sr. No. | Total Transacted Volume (Mus) | State | | | | | | | |
|---------|----------------------------------|-------|--|--|--|--|--|--|--|
| | Short Term transactions | | | | | | | | |
| 1 | 1 NIL | | | | | | | | |

| | erm Transactions |
|--|------------------|
| | NIL |

Form IV-F

Day Ahead Power Exchange Transactions of Electricity by Trading Licensees

Name of the Trading Licensee: Shyam Indus Power Solutions Private Limited Licence Details (No & Date): 40/Trading/CERC dated 11th Nov/2008

| | | | Purchas | sed from | Sold | l to | | | |
|-------|----------------------------------|--|---------------------------|----------|------------------------------|-------|-------------------------|------------------|-------------------------|
| Sr.No | Date of Delivery (DD/MM/YYYY) | Total Scheduled Volume for each day (MUs) | Name of Seller/Name of PX | State | Name of Buyer /Name of PX | State | Purchase Price (Rs.) | Sale Price (Rs.) | Trading Margin (Rs.) |
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Note: Trading Margin shall be charged for each 15 minutes contract separately. However, the reporting shall be made on daywise basis inlight of the large volume of data.

| | | IE | X | PXIL | | | | | |
|-------|---|--|---------------------|-----------------------|---------------------|--|--|--|--|
| | Name of the Client | Margin charged when Margin charged when | | Margin charged when | Margin charged when | | | | |
| Sr.No | | MCP is lesser than or | MCP is greater than | MCP is lesser than or | MCP is greater than | | | | |
| | | equal to Rs3/Kwh | Rs3/Kwh | equal to Rs3/Kwh | Rs3/Kwh | | | | |
| | | (Rs/Kwh) | (Rs/Kwh) | (Rs/Kwh) | (Rs/Kwh) | | | | |
| | Open Access Consumers and Generators | Please note that all our contracts are fix margin contracts and we have charged trading margin less than or equal to 4 paise/KWh irrespective of market clearing price and exchange on which we trade. | | | | | | | |
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| IEX | INDIAN ENERGY EXCHANGE |
|------|------------------------------|
| PXIL | POWER EXCHANGE INDIA LIMITED |

Form IV-G



Term Ahead Power Exchange Transactions of Electricity by Trading Licensees

Name of the Trading Licensee: Shyam Indus Power Solutions Private Limited Licence Details (No & Date): 40/Trading/CERC dated 11th Nov/2008

Month: Dec-19

| | Name of Power Exchange (IEX/ PXIL) | Period of Power Delivery | | Time of Power Delivery | | Schedule d | Purchased from | | Sold to | | Transaction | |
|-------|--|--------------------------|------------------------|------------------------|------------------|-----------------|-------------------|-------|------------------|-------|------------------|------------------------------|
| Sr.No | | Start date (DD/MM/ YYYY) | End date (DD/MM/ YYYY) | Start Time (HH:MM) | End Time (HH:MM) | Volume (MUs) | Name of Seller | State | Name of Buyer | State | Price (`/Kwh) | Trading Margin (`/kwh) |
| A | Intra-Day Contracts | (DD/MIMI/ 1111) | (DD/MINI/ 1111) | (HH;MM) | (HH:MM) | | | | | | | |
| A | Intra-Day Contracts | | | | | | | | | | | |
| | | | | | Nil | ļ | | | | | | - |
| В | Day-Ahead Contingen | cy Contracts | | | Nil | | | | | | | |
| | | | | | 1111 | | | | | | | |
| C | Daily Contracts | | | | | | | | | | | |
| | | | | | Nil | | | | | | | |
| D | Weekly Contracts | | | | | | | | | | | |
| | • | | | | Nil | | | | | | | |

Note: Any other Contract on the Power Exchange should be included as and when it introduced.

Form IV-H

9

Date of Trading:

Renewable Energy Certificates(REC) Trading on Power Exchange by Trading Licensees

Name of the Trading Licensee: Licence Details (No & Date) : Month:

Shyam Indus Power Solutions Private Limited 40/Trading/CERC dated 11th Nov/2008 Dec-19

| Sr. No. | REC Client (State) | Client Type (Renewable generator/ Obligated Entities) | Name of Power Exchange | Volume (RECs) | Market Clearing Price (Rs/REC) | Trading Margin (Rs/KWh) |
|---------|--------------------|---|------------------------------|------------------|-----------------------------------|----------------------------|
| | | Non Solar RECs | | | | |
| | | | | | | |
| | | NIL | | | | |
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| Solar RECs | | | | | | | | |
|------------|--|-----|--|--|--|--|--|--|
| | | | | | | | | |
| | | | | | | | | |
| | | NIL | | | | | | |
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Note: 1 REC = 1 MWh